

House Amendment to
Senate Amendment to
House File 648

S-3208

1 Amend the Senate amendment, H-1272, to House File 648, as
2 passed by the House, as follows:

3 1. Page 1, by striking lines 17 through 22 and inserting:

4 <<b. The investment adviser filing the petition to
5 expunge the information was doing business in this state, or
6 the investment adviser representative filing the petition
7 to expunge the information was a resident of this state
8 as provided in section 422.4, when either of the following
9 occurred:

10 (1) The information was first included in the record.

11 (2) The petition was filed in district court.>>

12 2. Page 1, by striking lines 24 through 26 and inserting:

13 <<c. The information arises out of a dispute involving the
14 client of an investment adviser and the investment adviser or
15 investment adviser representative.>>